

# Relationships

*in Name Authority Records*



# Relationships

- Relationships between names
- Expressed in MARC 21 as See-also references (5XX)
- Pre-RDA practice uses simple 5XX, and \$w codings
- RDA uses Relationship Designators from App. K
- Both practices are accepted by PCC

# Relationships

## RDA Appendix K

Three types of relationships in name authority records created by BL

- Relationships between pseudonyms, and between real names and pseudonyms
- Relationships between corporate names
- Relationships between personal names and corporate names

# Relationships between pseudonyms, and between real names and pseudonyms

*FAQ – LC/PCC RDA and AACR2 practice for creating NARs for persons who use pseudonyms*

<http://www.loc.gov/catdir/cps/pseud.pdf>

- Person uses one pseudonym and never uses the real name
- Person uses the real name and one pseudonym
- Person uses more than one pseudonym and may or may not use the real name
  
- Joint collaboration by two or more persons, real names not used
- Joint collaboration by two or more persons, real names used
- Pseudonym used by several persons working independently

## Person uses one pseudonym and never uses the real name

- Create only one NAR
- Use the pseudonym as the authorised access point (100)
- Use the unused real name as the variant access point (400)
- Do not use relationship designators from K.2.1

100 1 \$a Quinn, Julia, \$d 1970-

400 1 \$a Pottinger, Julie Cotler, \$d 1970-

## Person uses the real name and one pseudonym

- Create two NARs
- Link with See also references (500)
- Use relationship designators from K.2.1

100 1 \$a Sandford, John, \$d 1944 February 23-  
500 1 \$w r \$i **Real identity:** \$a Camp, John, \$d 1944-

100 1 \$a Camp, John, \$d 1944-  
500 1 \$w r \$i **Alternate identity:** \$a Sandford, John, \$d 1944 February 23-

## Person uses more than one pseudonym and may or may not use the real name

- Create NARs for all names, even if real name is unused
- Do not use relationship designators from K.2.1
- Select most commonly found name for a “basic” NAR

100 1 \$a Barbet, Pierre

500 1 \$w nnc \$a Avice, Claude, \$d 1925-

500 1 \$w nnc \$a Maine, David

500 1 \$w nnc \$a Sprigel, Oliver, \$d 1925-

663 \$a For works of this author written under other names,  
search also under: \$b Avice, Claude, 1925-; \$b Maine,  
David; \$b Sprigel, Oliver, 1925-

- “Basic” NAR carries the See-also references to all the other names
- Other NARs contain See-also references only to the “basic” NAR

## Person uses more than one pseudonym and may or may not use the real name

100 1 \$a Avice, Claude, \$d 1925-

500 1 \$w nnc \$a Barbet, Pierre

663 \$a Works by this author are identified by the name used in the item.

For a listing of other names used by this author, search also under: \$b Barbet, Pierre

100 1 \$a Maine, David

500 1 \$w nnc \$a Barbet, Pierre

663 \$a Works by this author are identified by the name used in the item.

For a listing of other names used by this author, search also under: \$b Barbet, Pierre

100 1 \$a Sprigel, Oliver, \$d 1925-

500 1 \$w nnc \$a Barbet, Pierre

663 \$a Works by this author are identified by the name used in the item.

For a listing of other names used by this author, search also under: \$b Barbet, Pierre



## Joint collaboration by two or more persons, real names not used

- Create only one NAR
- Use the pseudonym as the authorised access point (100)
- Use the unused real names as variant access points (400)
- Do not use relationship designators from K.2.1

100 1 \$a Rosslyn, Virginia

400 1 \$a Rivenbark, Isabelle A.

400 1 \$a Luna, Claire D.

670 \$a Cat power, 2001: \$b t.p. (Virginia Rosslyn) back flap (Virginia Rosslyn is the pseudonym of Isabelle A. Rivenbark and Claire D. Luna)

## Joint collaboration by two or more persons, real names used

- Create NARs for all names
- Select the joint pseudonym as the “basic” NAR

100 1 \$a Alexander, Hannah

500 1 \$w nnc \$a Hodde, Cheryl

500 1 \$w nnc \$a Hodde, Melvin

663 \$a Joint pseudonym of Cheryl Hodde and Melvin Hodde. For works of these authors written under their own names, search also under: \$b Hodde, Cheryl; \$b Hodde, Melvin

- “Basic” NAR carries the See-also references to all the other names
- Other NARs contain See-also references only to the “basic” NAR

# Joint collaboration by two or more persons, real names used

100 1 \$a Hodde, Cheryl

500 1 \$w nnnc \$a Alexander, Hannah

663 \$a For works of this author written in collaboration with Melvin Hodde,  
search also under: \$b Alexander, Hannah

100 1 \$a Hodde, Melvin

500 1 \$w nnnc \$a Alexander, Hannah

663 \$a For works of this author written in collaboration with Cheryl Hodde,  
search also under: \$b Alexander, Hannah

## Pseudonym used by several persons working independently

- Create NARs for all names, even if real names are unused
- Select the shared pseudonym as the “basic” NAR

100 1 \$a Meadows, Daisy

500 1 \$w nnc \$a Bentley, Sue, \$d 1951-

500 1 \$w nnc \$a Chapman, Linda

500 1 \$w nnc \$a Dhami, Narinder

663 \$a Pseudonym used by multiple persons. For works by these authors written under their own or other names, search also under: \$b Bentley, Sue, 1951-; \$b Chapman, Linda; \$b Dhami, Narinder

- “Basic” NAR carries the See also references to all the other names
- Other NARs contain See also references only to the “basic” NAR

# Pseudonym used by several persons working independently

100 1 \$a Bentley, Sue, \$d 1951-

500 1 \$w nnnc \$a Meadows, Daisy

663 \$a For works of this author written under other names, search also under: \$b Meadows, Daisy

100 1 \$a Chapman, Linda

500 1 \$w nnnc \$a Meadows, Daisy

663 \$a For works of this author written under other names, search also under: \$b Meadows, Daisy

100 1 \$a Dhami, Narinder

500 1 \$w nnnc \$a Meadows, Daisy

663 \$a For works of this author written under other names, search also under: \$b Meadows, Daisy

## “Unused” pseudonyms

- Creating NARs for all names helps users of LC/NAF
- Only required to create NARs for pseudonyms used in the catalogue
- If not creating NARs for all pseudonyms, use this note:

667 \$a Pseudonyms not found on published works: [list of identities not established]

- Do not create variant access points (400) for these pseudonyms
- Can be established when needed and removed from the 667 note

## Variants of the real name used concurrently

- Treat as if pseudonyms only if there is explicit evidence
- Works in different subject areas under each name
- Clear evidence found in publications, or author has declared intent

100 1 \$a Lasky, Kathryn

500 1 \$w nnnc \$a Knight, Kathryn Lasky

500 1 \$w nnnc \$a Swann, E. L.

663 \$a For works of this author written under other names, search also under: \$a Knight, Kathryn Lasky; \$b Swann, E. L.

670 A baby for Max, 1984: \$b CIP t.p. (Kathryn Lasky) author info. (Kathryn Lasky Knight; uses pen name E. L. Swann)

670 \$a Tel. call to the author, July 17, 1986 \$b (author will continue to write children's works under "Kathryn Lasky" and adult works under "Kathryn Lasky Knight" and wants LC to use separate headings for each type of work)

## Variants of the real name used concurrently

100 1 \$a Knight, Kathryn Lasky

500 1 \$w nnc \$a Lasky, Kathryn

663 \$a Works by this author are identified by the name used in the item.  
For a listing of other names used by this author, search also under:  
\$b Lasky, Kathryn

670 \$a Atlantic circle, 1986: \$b t.p. (Kathryn Lasky Knight)

670 \$a Tel. call to the author, July 17, 1986 \$b (author will continue to write children's works under "Kathryn Lasky" and adult works under "Kathryn Lasky Knight" and wants LC to use separate headings for each type of work)

100 1 \$a Swann, E. L.

500 1 \$w nnc \$a Knight, Kathryn Lasky

663 \$a Works by this author are identified by the name used in the item.  
For a listing of other names used by this author, search also under:  
\$b Lasky, Kathryn

670 \$a Night gardening, 1999: \$b CIP t.p. (E.L. Swann) pub. info.  
(pseudonym for Kathryn Lasky/Kathryn Lasky Knight; will use this pseud. when writing major commercial fiction for adults)



# Relationships between corporate names

- Earlier and later names
- Hierarchical subordinate and hierarchical superior
- Other relationship designators

## BL practice

- Record relationships between existing NARs, and new NARs needed
- Do not create a NAR simply to record a relationship
- Do not add relationship designators to existing See-also references
- Do not change \$w codings in existing NARs
  
- Relationship must always be justified in a 670 field

## Earlier and later names

### *Pre-RDA practice*

- \$w a and \$w b used coding in 510 field

110 \$a Smith Company

510 \$w b \$a Smith Company International

110 \$a Smith Company International

510 \$w a \$a Smith Company

\$w a = “See-also earlier name”

\$w b = “See-also later name”

## Earlier and later names

### *RDA practice*

- Use relationship designators from K.4.3
- Use \$w r and record relationship designator in \$i

**successor**

**predecessor**

**mergee**

**product of a merger**

**product of a split**

# Relationships between corporate names

*Body A changes name to Body B*

110 \$a Smith Company

510 \$w r \$i **Successor**: \$a Smith Company International

110 \$a Smith Company International

510 \$w r \$i **Predecessor**: \$a Smith Company

## Relationships between corporate names

*Body A and Body B merge to form Body C*

110 \$a Smith Company

510 \$w r \$i **Mergee**: \$a Jones Company

510 \$w r \$i **Product of a merger**: \$a Smith & Jones Company

110 \$a Jones Company

510 \$w r \$i **Mergee**: \$a Smith Company

510 \$w r \$i **Product of a merger**: \$a Smith & Jones Company

110 Smith & Jones Company

510 \$w r \$i **Predecessor**: \$a Smith Company

510 \$w r \$i **Predecessor**: \$a Jones Company

# Relationships between corporate names

*Body A splits to form Body B and Body C*

110 \$a Smith & Jones Company

510 \$w r \$i **Product of a split:** \$a Smith Company

510 \$w r \$i **Product of a split:** \$a Jones Company

110 \$a Smith Company

510 \$w r \$i **Predecessor:** \$a Smith & Jones Company

110 Jones Company

510 \$w r \$i **Predecessor:** \$a Smith & Jones Company

## Relationships between corporate names

*In cases of doubt about a merger or split*

- Use **successor** and **predecessor**

*In case of doubt about the relationship*

- Use a simple See-also reference without relationship designators

# Hierarchical subordinate and hierarchical superior

## BL practice

- Do not make See also references between a subordinate body and its parent
- Do not use the relationship designators:

**hierarchical superior**

**hierarchical subordinate**



## Other relationship designators

- Other relationship designators in K.4.3 may be used if appropriate

**founded corporate body**

**founding corporate body**

**sponsored corporate body**

**sponsoring corporate body**

# Relationships between personal and corporate names

- Relationship designators in K.2.3 and K.4.1 may be used if appropriate

**employee**

**founder**

**group member**

**incumbent**

**sponsor**

**employer**

**founded corporate body**

**group member of**

# Groups and their members

110 \$a Beatles

500 \$w r \$i **Group member:** \$a Lennon, John, \$d 1940-1980

500 \$w r \$i **Group member:** \$a McCartney, Paul

500 \$w r \$i **Group member:** \$a Harrison, George, \$d 1943-2001

500 \$w r \$i **Group member:** \$a Starr, Ringo

100 \$a Lennon, John, \$d 1940-1980

510 \$w r \$i **Group member of:** \$a Beatles

510 \$w r \$i **Group member of:** \$a Plastic Ono Band

510 \$w r \$i **Group member of:** \$a Quarrymen (Musial Group)

# Relationships between personal and corporate names

- Not all relationship designators have reciprocals:

110 \$a France. \$b President (1995-2007 : Chirac)

500 \$w r \$i **Incumbent**: \$a Chirac, Jacques, \$d 1932-

- No designator available for use in authority record for:

100 \$a Chirac, Jacques, \$d 1932-

510 \$a France. \$b President (1995-2007 : Chirac)

- Simple See also reference made in this case

# Relationships between personal and corporate names

- Not all relationship designators are used reciprocally:

100 \$a Keating, Roly

510 \$w r \$i **Employer:** \$a British Broadcasting Corporation

510 \$w r \$i **Employer:** \$a British Library

- Not efficient to list every employee on NAR for British Library!
- No See also reference made in this case
- Could list those that are significant (your judgement)

# Where to find these guidelines

- + RDA: Element Set
- + RDA Mappings
- Examples of RDA Records (JSC)
  - MARC Encoding
- Workflows
  - Create Workflow
  - Global Workflows
    - Archival Collections
    - BL Guide to RDA Name Authority Records**
    - BL Monograph WEMI Workflow
    - Braille fiction
    - Braille music
    - Cataloging a print serial
    - CONSER RDA Cataloging Checklist for Textual Serials (DRAFT)
    - GCElectronicres
    - Glossarium (Nederlands)
    - GSLIS UIUC Cartographic Resources (Bothmann)
    - LC Staff Adding Attributes For



## THE BRITISH LIBRARY GUIDE TO RDA NAME AUTHORITY RECORDS

*A Guide to Best Practice for RDA Name Authority records in NACO*

### INTRODUCTION

This guide describes **British Library**  best practice for the creation of RDA name authority records. It results both from discussions with the Library of Congress, and from the contributions of many cataloguers in weekly RDA policy review meetings over a period of months, without whom the guide would not have been possible.

This guide should be read in conjunction with the **LC-PCC-PS**  **LC-PCC PS**, and **DCM: Descriptive Cataloging Manual, Z1. Name and Series Authority Records**  (Cataloger's Desktop login required).

Queries concerning this Guide may be directed to [richard.moore@bl.uk](mailto:richard.moore@bl.uk)

Text in **blue** links to other locations in the Guide.

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***THE END***